

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office: Address COMMISSIONER FOR PATENTS P.O. Day 1450

P.O. Box 1450 Alexandria, Vinginia 22313-1450 www.mpho.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/007 070	10/17/2003	2642	01352	- 11336-641 (P98007USC)	17	38	6

Susna D. Reinecke BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610



CONFIRMATION NO. 9358
FILING RECEIPT
**OC000000011804270*

Date Mailed: 02/02/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

David H. Griesinger, Cambridge, MA;

Assignment For Published Patent Application

Harman International Industries, Incorporated;

Domestic Priority data as claimed by applicant

This application is a CON of 09/146,442 09/03/1998 which is a CIP of 08/684,948 07/19/1996 PAT 5,796,844

Foreign Applications

If Required, Foreign Filing License Granted: 01/30/2004

Projected Publication Date: 05/13/2004

Non-Publication Request: No

Early Publication Request: No

Title

5-2-5 Matrix encoder and decoder system

381

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (i)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REGUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for all cinese under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

Thereby certify that this correspondence is being deposited with the United States Rostal Service as first class mail, with stifficient poctage, in an envelope addressed to: Commissioner for Patents, P. O/Byk 1450, Alpoquiffly. VA 22315-450, on the below date:

Name: Susan D. Reinecke August 12, 2004 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BRINKS HOFER GILSON

ACTER	David H. Griesinger
In re Appin. of:	David H. Griesinger

10/687,676 Appln. No.:

FAT & TE

October 17, 2003 Filed:

5-2-5 MATRIX ENCODER AND For: DECODER SYSTEM

11336-641 (P98007USC) Attorney Docket No:

&LIONE

Art Unit: 2643 Confirmation No.: 9358

Examiner: TBA

Commissioner for Patents P. O. Box 1450

Alexandria, VA 22313-1450

TRANSMITTAL

Attached is/are: Sir: Request for Corrected Filing Receipt (in dup. 2 pages each), a copy of the Filing Receipt (2 pages) and Ø copy of page one of the application as filed (1 page).

Return Receipt Postcard

Fee calculation:

No additional fee is required.

Small Entity.

An extension fee in an amount of \$_____ for a _____-month extension of time under 37 C.F.R. § 1.136(a). \Box

A petition or processing fee in an amount of \$____ under 37 C.F.R. § 1.17(____).

An additional filing fee has been calculated as shown below:

о,	W dadition 3					Sma	II Entity		Not a S	mall Entity
	Claims Remaining		Highest No. Previously Paid For	Present Extra		Rate	Add'l Fee	or	Rate	Add'l Fee
	After Amendment	Minus	Fleviously 1 did 1 of		Г	x \$9=			x \$18=	
Total	 	Minus			Г	x 43=			x \$86=	
Indep.						+\$145=			+ \$290=	
First Presentation of Multiple Dep. Claim					Total	S		Total	\$	

Fee payment:

A check in the amount of \$____ is enclosed.

. A copy of this Transmittal is Please charge Deposit Account No. 23-1925 in the amount of \$ enclosed for this purpose.

Payment by credit card in the amount of \$____ (Form PTO-2038 is attached).

The Director is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this paper \boxtimes (including any extension fee required to ensure that this paper is timely filed), or to credit any overpayment, to Deposit Account No. 23-1925.

August 12, 2004 usan D. Reined

(Reg. No. 40,198) Customer No.: 00757

BRINKS HOFER GILSON & LIONE NBC Tower - Suite 3600, 455 N. Cityfront Plaza Drive, Chicago, IL 60611-5599

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-

> on August 12, 2004 Date of Deposit

Susan D. Reinecke, Reg. No. 40,198 Name of applicant, assignee or Registered Representative

> Avaust 12, 200 Date of Signatur

Our Case No. 11336-641 (P98007USC)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David H. Griesinger

10/687,676

Serial No. Filing Date: October 17, 2003

5-2-5 MATRIX ENCODER AND

DECODER SYSTEM

TBA Examiner:

Confirmation No.

Group Art Unit No.:

2643

9358

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Attention:

Application Processing Division

Customer Correction Branch

Sir:

Applicant requests the issuance of a corrected filing receipt for the abovereferenced pending patent application. A copy of the original Filing Receipt is enclosed. In support of this request, Applicant respectfully states: the captioned application claims the benefit of U.S. Provisional Application No. 60/058,169 filed

U.S. Serial No. 10/687,676 Filed: Oct. 17, 2003

September 5, 1997 as listed in the Priority Claim in the first paragraph of the

patent application (copy enclosed). The present application is a continuation of

U.S. Patent Application No. 09/146,442 which claims the benefit of 60/058,169.

Therefore, the present application is entitled to claim benefit of U.S. Provisional

Patent Application No. 60/058,169.

Applicant respectfully requests that a Corrected Filing Receipt be issued

that includes the priority claim to U.S. provisional application Serial No.

60/058,169.

The Commissioner is hereby authorized to charge any fees required to

Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Susan D. Reinecke

Registration No. 40,198 Customer No. 00757

BRINKS HOFER GILSON & LIONE P.O. BOX 10395 CHICAGO, ILLINOIS 60610 Tel. (312) 321-4200 10

15

20

25

PATENT Case No. 11336/641 (P98007 USC)

5-2-5 MATRIX ENCODER AND DECODER SYSTEM

INVENTOR:

David H. Griesinger

PRIORITY CLAIM

[001] This application claims the benefit of U.S. Provisional Patent Application No. 60/058,169, entitled "5-2-5 Matrix Encoder and Decoder System" filed September 5, 1997; and is a continuation of U.S. Patent Application No. 09/146,442, entitled "5-2-5 Matrix Encoder and Decoder System" filed September 3, 1998 (hereby incorporated by reference), which is a continuation-in-part of U.S. Patent Application No. 08/684,948, entitled "Multichannel Active Matrix Sound Reproduction with Maximum Lateral Separation" filed July 19, 1996 (now issued U.S. Patent No. 5,796,844).

BACKGROUND OF THE INVENTION

[002] This invention relates to sound reproduction systems involving the decoding of a stereophonic pair of input audio signals into a multiplicity of output signals for reproduction after suitable amplification through a like plurality of loudspeakers arranged to surround a listener, as well as the encoding of multichannel material into two channels.

SUMMARY

[003] The present invention concerns an improved set of design criteria and their solution to create a decoding matrix having optimum psychoacoustic performance in reproducing encoded multichannel material as well as standard two channel material. This decoding matrix maintains high separation between the left and right components of stereo signals under all conditions, even when there is a net forward or rearward bias to the input signals, or when there is a strong sound component in a particular direction, while maintaining high separation between the various outputs for signals with a defined direction,